

12-03-01

A

UTILITY PATENT APPLICATION TRANSMITTAL

Docket No.

TN223

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

67

TO THE COMMISSIONER FOR PATENTS

Box Patent Application

2900 Crystal Drive

Arlington, VA 22202-3513

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

COUNTER MEASURES FOR IRREGULARITIES IN FINANCIAL TRANSACTIONS

and invented by:

Rowan BOSWORTH-DAVIES; Paul BURD; Robert David NORFOLK

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

APPLICATION ELEMENTS

☒ Filing Fee as calculated and transmitted as described below

☒ Specification having 42 pages and including the following:

- a. ☒ Descriptive Title of the Invention
- b. ☐ Cross References to Related Applications (if applicable)
- c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
- d. ☐ Reference to Microfiche Appendix (if applicable)
- e. ☒ Background of the Invention
- f. ☒ Brief Summary of the Invention
- g. ☒ Brief Description of the Drawings (if applicable)
- h. ☒ Detailed Description
- i. ☒ Claim(s) as Classified Below
- j. ☒ Abstract of Disclosure

11/29/01
09/998360
U.S. PTO

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Application Elements (Continued)3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*a. ☒ Formal Number of Sheets 14b. ☐ Informal Number of Sheets4. ☒ Oath or Declarationa. ☐ New executed *(original or copy)* ☒ Unexecutedb. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional applications only)*c. ☒ With Power of Attorney ☐ Without Power of Attorneyd. ☐ DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b)5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the disclosure of the accompanying application and is
hereby incorporated by reference herein.6. ☐ Computer Program in Microfiche *(Appendix)*☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*a. ☐ Paper Copyb. ☐ Computer Readable Copy *(identical to computer copy)*c. ☐ Statement Verifying Identical Paper and Computer Readable Copy**Accompanying Application Parts**8. ☐ Assignment Papers *(cover sheet & document(s))*9. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)*10. ☐ English Translation Document *(if applicable)*11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations12. ☐ Preliminary Amendment13. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*14. ☐ Certified copy of Priority Document(s) *(if foreign priority is claimed)*15. ☒ Certificate of Mailing☐ First Class☒ Express Mail *(Specify Label No.):* ET 692 310 585 US

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Accompanying Application Parts (Continued)

16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Additional Enclosures *(please identify below)*:

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Fee Calculation and Transmittal**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	65	- 20 =	45	\$18	\$810.00
Indep. Claims	5	- 3 =	2	\$84	\$168.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$740.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$1718.00

☐ A check in the amount of _____ to cover the filing fee is enclosed.

- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **19-3790** as described below. A duplicate copy of this sheet is enclosed.

- ☒ Charge the amount of **\$1,718.00** as filing fee.
- ☐ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: November 29, 2001


Signature

Joseph J. Opalach, Reg. No. 36,229

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

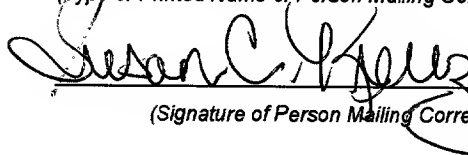
Applicant(s): Rowan BOSWORTH-DAVIES et al.

DOCKET NO.
TN223Serial No.
To Be AssignedFiling Date
Herewith - Nov. 29, 2001Examiner
N/AGroup Art Unit
N/AInvention: **COUNTER MEASURES FOR IRREGULARITIES IN FINANCIAL TRANSACTIONS**

I hereby certify that this utility patent application and its enclosures is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, 2900 Crystal Drive, Arlington, VA 22202-3513 on November 29, 2001.

Susan C. Kreuz

(Type or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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